APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/604,763	06/26/2000	Tsuyoshi Katayama	2185-0452P-SP	3604

7590   12/17/2001

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EXAMINER
WELLS, LAUREN Q

ART UNIT	PAPER NUMBER
1619	

DATE MAILED: 12/17/2001

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 07-01)

	Application No.	Applicant(s)
Advisory Action	09/604,763	KATAYAMA ET AL.
	Examiner	**Art Unit**	
	Lauren Q Wells	1619	

--The MAILING DATE of this communication appears on the cover sheet with the c rrespondence address --

THE REPLY FILED 07 December 2001 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may <u>only</u> be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

PERIOD FOR REPLY [check either a) or b)]

a) ☐ The period for reply expires _____ months from the mailing date of the final rejection.

b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

1.☐ A Notice of Appeal was filed on _____. Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.

2.☒ The proposed amendment(s) will not be entered because:

  (a) ☐ they raise new issues that would require further consideration and/or search (see NOTE below);

  (b) ☐ they raise the issue of new matter (see Note below);

  (c) ☒ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or

  (d) ☐ they present additional claims without canceling a corresponding number of finally rejected claims.
   NOTE: _____.

3.☐ Applicant's reply has overcome the following rejection(s): _____.

4.☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).

5.☒ The a)☐ affidavit, b)☐ exhibit, or c)☒ request for reconsideration has been considered but does NOT place the application in condition for allowance because: *See Continuation Sheet*.

6.☐ The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.

7.☐ For purposes of Appeal, the proposed amendment(s) a)☐ will not be entered or b)☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: _____.

Claim(s) objected to: _____.

Claim(s) rejected: *1-10 and 12-17*.

Claim(s) withdrawn from consideration: _____.

8.☐ The proposed drawing correction filed on _____ is a)☐ approved or b)☐ disapproved by the Examiner.

9.☐ Note the attached Information Disclosure Statement(s)(PTO-1449) Paper No(s). _____.

10.☐ Other: _____

Continuation of 5. does NOT place the application in condition for allowance because: Applicant's independent claim 16 is directed toward a cosmetic comprising a dimerdiol ester of a monocarboxylic acid having 4-34 carbon atoms and/or a dimerdiol ester of a dicarboxylic acid. US 5,795,978 teaches esters of linear and/or branched fatty acids with polyhydric alcohols (for example dimer diol or trimer diol) as oils for use in cosmetics. The only limitation the instant independent claim 16 places on the carboxylic acid is that if it is a monocarboxylic acid, it must comprise 4 to 34 carbon atoms. The teachings of US 5,795,978 encompass the invention claimed in independent claim 16 of the instant invention. The Applicant argues that "none of the cited references. . .discloses or suggests the superiority of the dimerdiol used in the present invention for cosmetics" and that "none of the cited references discloses or suggests superiority of the specific carboxylic acid of the instant invention for use in cosmetics". These arguments are not persuasive. First, the cited references do not need to disclose the superiority of the dimerdiol to be used in a rejection over the instant invention. The cited references need only to teach the dimerdiol ester of claim 16 in a cosmetic embodiment. Second, the instant invention does not recite "specific carboxylic acids", as argued above, in independent claim 16. Specific carboxylic acids are disclosed in dependent claims, not in independent claim 16. Additionally, the Examiner respectfully points out that references are not bound by their preferred embodiments, but are examined as a whole for what they teach.
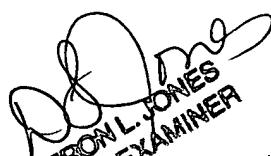
DAMERON L. JONES
PRIMARY EXAMINER